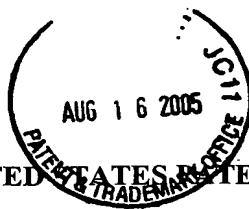


**MAIL STOP PCT**

Docket No. 266138JS0PCT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Eva WAGNER, et al.

SERIAL NO: 10/526,017

GAU:

FILED: February 25, 2005

EXAMINER:

FOR: RADIATION CURED POLYURETHANES WITH CAPPED AMINO GROUPS

1712  
Image

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Surinder Sachar

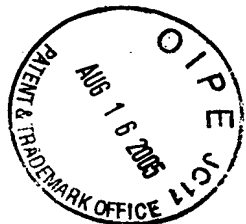
Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>266138US0PCT</b>		SERIAL NO. <b>10/526,017</b>	
<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; margin: 10px;"> <b>OPEN</b>  AUG 16 2005  PATENT &amp; TRADEMARK OFFICE </div> <div style="text-align: left;"> LIST OF REFERENCES CITED BY APPLICANT </div> </div>				APPLICANT <b>Eva WAGNER, et al.</b>			
				FILING DATE <b>February 25, 2005</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA 5 922 804	07/13/99	RICHARDS et al.				
	AB 5 124 427	06/23/92	POTTER et al.				
	AC 5 258 482	11/02/93	JACOBS et al.				
	AD 5 290 902	03/01/94	JACOBS et al.				
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
	AE 33 24 960	05/17/84	DE (with English abstract)			NO	
	AF 100 47 989	04/18/02	DE (equivalent of US 2004/0087714)			NO	
	AG 0 752 433	01/08/97	EP (equivalent of US 5744569)			NO	
	AH 0 659 791	06/28/95	EP (equivalent of US 5629403)			NO	
	AI 00/39183	07/06/00	WO (with English abstract & equivalent of US 6617413)			NO	
	AJ 196 09 617	09/18/97	DE (equivalent of US 5744569)			NO	
	AK 97/23536	07/03/97	WO (with English abstract & equivalent of US 6022938)			NO	
	AL 01/83579	11/08/01	WO (with English abstract & equivalent of US 6756072)			NO	
	AM 2 245 636	03/21/74	DE (equivalent of GB 1390719)			NO	
	AN 196 18 720	11/14/96	DE (with English abstract)			NO	
	AO 0 495 751	07/22/92	EP (with English abstract)			NO	
	AP 0 615 980	09/21/94	EP (with English abstract)			NO	
	AQ 0 007'508 /	02/06/80	EP (equivalent of US 4292152, US 4298738, US 4324744, US 4385109 & US 4710523)			NO	
	AR 0 057 474	08/11/82	EP (with English abstract)			NO	
	AS 198 26 712	12/23/99	DE (with English abstract)			NO	
	AT 199 13 353	09/28/00	DE (equivalent of US 6562464)			NO	
	AU 98/33761	08/06/98	WO (equivalent of US 6048660)			NO	
	AV 0 585 835	03/09/94	EP (equivalent of US 5369207)			NO	
	AW 0 496 208	07/29/92	EP			NO	
	AX 0 069 866	01/19/83	EP (with English abstract)			NO	
	AY 40 15 155	11/14/91	DE (equivalent of US 5144031)			NO	
	AZ 0 649 866	04/26/95	EP (with English abstract)			NO	
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AAA	WICKS, Zeno W. Jr. "Blocked Isocyanates", Progress in Organic Coatings, vol. 3, pages 73-99 1975					
	AAB	WICKS, Zeno W. Jr. "New Developments in the Field of Blocked Isocyanates", Progress in Organic Coatings, vol. 9, pages 3-28 1981				<input checked="" type="checkbox"/> Additional References sheet(s) attached	
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO.  266138US0PCT		SERIAL NO.  10/526,017	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT  Eva WAGNER, et al.			
				FILING DATE  February 25, 2005		GROUP	
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AAC	MUELLER, E. "Herstellung von Isocyanat-Abspalttern und nicht fluechtigen Polyisocyanaten", Methoden der Organischen Chemie, vol. XIV/2, pages 61-70 1963					
	AAD	HUTCHISON, J. et al. "Photoinitiation of Vinyl Polymerization by Aromatic Carbonyl Compounds", Advances in Polymer Science, vol. 14, pages 49-86 1974					
	AAE	MASSON, J.C. "Decomposition Rates of Organic Free Radical Initiators", Polymer Handbook, 2 <sup>nd</sup> edition, pages II-1-II-43 1975					
	AAF	DIETLIKER, K. "Photoinitiators for Free Radical and Cationic Polymerisation", Chemistry and Technology Ltd., vol. 3, pages 275-298 1991					
	AAG						
	AAH						
	AAI						
	AAJ						
	AAK						
	AAL						
	AAM						
	AAN						
	AAO						
	AAP						
	AAQ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/526,017

Docket No.: 266138US0PCT



#### STATEMENT OF RELEVANCY

- 1) References AE-AH have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AA-AD, AI-AAF are discussed in the specification. A copy of these references is being submitted here with.
- 4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.